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**REC'D. IN THE U.S. PATENT AND TRADEMARK OFFICE**

Applicant(s): Yasmin Thanavala, et al.

Serial No: 09/420,695

Filed: October 19, 1999

- (1) Patent Recordation Form Cover Sheet
- (1) Assignment for Recordal
- (1) Check in the amount of \$40.00
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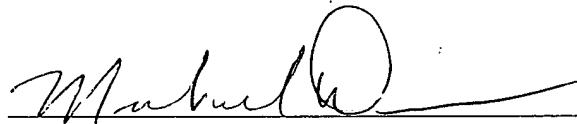
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**CERTIFICATE OF MAILING BY FIRST CLASS MAIL**

I certify that this Assignment for recordal is being deposited on March 2, 2000, with the U.S. Postal Service as first class mail addressed to the Commissioner of Patents & Trademarks, Box Assignments, Washington, D.C. 20231.

  
\_\_\_\_\_  
Michael L. Dunn  
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# RECORDATION FORM COVER SHEET

## PATENTS ONLY

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

**Yasmin Thanavala**Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_Execution Date: **December 22, 1999**

2. Name and address of receiving party(ies)

Name: **Health Research, Inc.**Internal Address: **Elm and Carlton Streets**Street Address: **same as above**City: **Buffalo** State: **New York** ZIP: **14263**Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

**09/420,695**

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Dunn & Associates**Internal Address: **P.O. Box 10**Street Address: **same as above**City: **Newfane** State: **NY** ZIP: **14108**6. Total number of applications and patents involved: **1**7. Total fee (37 CFR 3.41).....\$ **40.00**☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

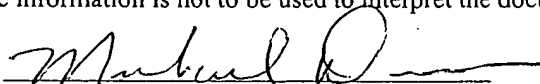
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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document; however, the above information is not to be used to interpret the document which speaks for itself.

**Michael L. Dunn**

Name of Person Signing


  
Signature

**March 2, 2000**
  
Date
Total number of pages including cover sheet, attachments, and document: **4**

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents &amp; Trademarks, Box Assignments

Washington, D.C. 20231

**ASSIGNMENT OF INVENTION**

WHEREAS, I, YASMIN THANAVALA residing at 150 Presidio Place, Williamsville, New York 14221, have invented certain new and useful improvements in:

**ORAL IMMUNOLOGY USING PLANT PRODUCT CONTAINING  
HEPATITIS SURFACE ANTIGEN**

for which I have made application for Letters Patent in the United States; and for which the specification was filed on October 19, 1999, and assigned U.S. Serial No. 09/420,695;

AND WHEREAS, **HEALTH RESEARCH, INC.**, Roswell Park Cancer Institute, Elm & Carlton Streets, Buffalo, New York 14263, a corporation of the State of New York, is desirous of acquiring all interest in said invention, said application disclosing the invention and any Letters Patent which may be granted therefor:

NOW THIS INDENTURE WITNESSETH, that for the sum of One Dollar, and other valuable consideration to me in hand paid, the receipt whereof is hereby acknowledged, I hereby assign, sell and transfer unto said **HEALTH RESEARCH, INC.**, the entire right, title and interest in said invention, said application, including any divisions and continuations thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto;

And I hereby authorize and request the Commissioner of Patents to issue said United States Letters Patent to said **HEALTH RESEARCH, INC.**, as the Assignee of the whole right, title and interest thereto;

And I further agree to execute all necessary and lawful future documents, including assignments in favor of **HEALTH RESEARCH, INC.**, or its designee as **HEALTH RESEARCH, INC.**, or its Assignee may from time-to-time present to me in order to perfect title

in said invention, modifications, and improvements in said invention, applications and Letters Patent of the United States and countries foreign thereto;

And I further agree to sign and properly execute such necessary and lawful papers for application for foreign patents, for filing subdivisions of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid invention, as the Assignee thereof shall hereafter require and prepare at its own expense.

WITNESS, my hand and seal this 22 day of Dec ., 1999.

WITNESS:

Howard L. Wallace II

Yasmin Thanavala

Yasmin Thanavala

WITNESS:

Galing Alvin

STATE OF NEW YORK

SS:

COUNTY OF Erie

On this 22<sup>nd</sup> day of December in the year of 1999, before me, the subscriber, personally appeared YASMIN THANAVALA to me known to be the person described in and who executed the foregoing instrument, and who acknowledged that he executed the same.

[SEAL]

CHERYL A. ZUBER  
Notary Public, State of New York  
No. 4865348  
Qualified in Erie County  
My Commission Expires July 1, ~~2000~~

Cheryl A. Zuber  
Notary Public

**Address correction for Yasmin Thanavala:**

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**157 Presidio Place, Williamsville, New York 14221**